# CALL-CORRECT SPECIALISATION OF LOGIC PROGRAMS

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In this paper we introduce the concept of *specialisable call correct* program. It is based on the notion of *specialised derivation* which is intended to describe program behaviour whenever some constraints on procedure calls are assumed. Both operational and fixpoint constructions are defined. They characterize successful derivations of programs where only atoms satisfying a given call-condition are selected. We show that specialisable call correct programs can be transformed into *call-correct* ones. A sufficient condition to verify specialisable call correctness is stated.

# 1 Introduction

In this paper we introduce a novel notion of correctness for logic programs. It characterizes correct programs wrt to a given pre/post specification  $?_1?_1?_1?_1?_1?_1$  where the only request on the call patterns is that they can be instanziated in order to satisfy a given call-condition. Programs satisfying such property are called *specialisable call correct* (*s.c.c.*, in short) and are proved to be specialisable into correct ones where all the call patterns do satisfy the given call-condition. This allows us to reason on type correctness of logic programs without the need of augmenting programs with type declarations (in the form of Prolog procedure) as in  $?_1?_1?$ . Abstract interpretation techniques can be used to provide a finite description of the call-condition.

As an example of a useful call-specialisation, consider the following Prolog program computing the frontier of a binary tree?:

 $\begin{aligned} &\texttt{front}(\texttt{void}, []).\\ &\texttt{front}(\texttt{tree}(X,\texttt{void},\texttt{void}), [X]).\\ &\texttt{front}(\texttt{tree}(X, L, R), X_s) \leftarrow \texttt{nel\_tree}(\texttt{tree}(X, L, R)),\\ &\texttt{front}(L, L_s),\\ &\texttt{front}(R, R_s),\\ &\texttt{append}(L_s, R_s, X_s). \end{aligned}$ 

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where the relation **nel-tree** is used to enforce a tree to be neither the empty tree nor a leaf **tree**(a, **void**, **void**). Observe that the simpler program obtained by removing the atom **nel\_tree**(**tree**(X, L, R)) in the body of the third clause and by discarding the relation **nel\_tree** is indeed incorrect (see ?).

Suppose that the domain of application consists of the set of trees whose left subtrees are always leafs and consider the following pre/call/post specification:

$$\begin{array}{l} Pre &= \{\texttt{front} \ (t,l) \mid t \text{ is a term and } l \text{ is a variable}\} \cup \\ & \{\texttt{nel\_list} \ (t) \mid t \text{ is a term}\} \cup \{\texttt{append} \ (u,v,z) \mid u,v,z \text{ are terms}\} \\ Call &= \{\texttt{front} \ (t,l) \mid t \text{ is either the empty tree or a leaf or a term} \\ & \text{of the form } \texttt{tree}(u,r,s) \text{ where } r \text{ is a leaf and } u,s \text{ and } l \text{ are terms}\} \cup \\ & \{\texttt{nel\_list} \ (t) \mid t \text{ is a term}\} \cup \{\texttt{append} \ (u,v,z) \mid u,v,z \text{ are terms}\} \\ Post &= \{\texttt{front} \ (t,l) \mid l \text{ is the frontier of the binary tree } t\} \cup \\ & \{\texttt{nel\_list} \ (t) \mid l \text{ is a term}\} \cup \{\texttt{append} \ (u,v,z) \mid u,v,z \text{ are terms}\}. \end{array}$$

The program is s.c.c. wrt the *Pre*, *Call* and *Post*. In fact, each derivation starting with a query Q satisfying the pre-condition *Pre* where all the call-patterns can be instantiated to an atom satisfying the call-condition *Call*, produces a computed instance  $Q\theta$  satisfying the post-condition *Post*. The program can be specialised into a call-correct one as follows:

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\begin{array}{l} \texttt{front (void, []).} \\ \texttt{front (tree }(X,\texttt{void},\texttt{void}), [X]). \\ \texttt{front (tree }(X,\texttt{tree }(L,\texttt{void},\texttt{void}), R), X_s) \leftarrow \\ & \texttt{nel\_tree }(\texttt{tree }(X,\texttt{tree }(L,\texttt{void},\texttt{void}), R)), \\ & \texttt{front (tree }(L,\texttt{void},\texttt{void}), L_s), \\ & \texttt{front }(R, R_s), \\ & \texttt{append }(L_s, R_s, X_s). \end{array}
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augmented by definitions of the relations nel\_tree and append. Note that by unfolding (see ?) the atoms in the body of the third clause of the relation front, one can further optimize the program as follows:

```
front (void, []).
front (tree (X, \text{void}, \text{void}), [X]).
front (tree (_, tree (L, \text{void}, \text{void}), R), [L|R_s]) \leftarrow front (R, R_s).
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which does not use both the relations nel\_tree and append.

In this paper, we define a *specialised semantics* which captures the behaviour of call-correct derivations of a program P. This is obtained by generalizing the *s*-semantics approach  $?_{i}?_{i}?$  in order to handle call-conditions. We show that the specialised semantics can be computed both by a top-down and a bottom-up construction.

Moreover, we provide a sufficient condition to prove that a program is s.c.c. wrt to a given pre/call/post specification. It consists in one application of the specialised immediate consequence to the post-condition. A simple program specialisation which transforms s.c.c. programs into call-correct ones is also defined<sup>*a*</sup>.

#### 2 Preliminaries

The reader is assumed to be familiar with the terminology of and the basic results in the semantics of logic programs  $?_{1}?_{1}?$ .

Let  $\mathcal{L}$  be the first order language consisting of a finite set  $\mathcal{C}$  of data conctructors, a finite set  $\mathcal{P}$  of predicate symbols, a denumerable set  $\mathcal{V}$  of variable symbols. Let  $\mathcal{T}$  be the set of terms built on  $\mathcal{C}$  and  $\mathcal{V}$ . Variable-free terms are called *ground*. A substitution is a mapping  $\theta : \mathcal{V} \to \mathcal{T}$  such that the set  $D(\theta) = \{X \mid \theta(X) \neq X\}$  (domain of  $\theta$ ) is finite. If  $V \subset \mathcal{V}$ , we denote by  $\theta_{|V|}$ the restriction of  $\theta$  to the variables in V, i.e.,  $\theta_{|V}(Y) = Y$  for  $Y \notin V$ . Moreover if E is any expression, we use the abbreviation  $\theta_{|E}$  to denote  $\theta_{|Var(E)}$ .  $\epsilon$  denotes the empty substitution. The composition  $\theta\sigma$  of the substitutions  $\theta$  and  $\sigma$  is defined as the functional composition, i.e.,  $\theta\sigma(X) = \sigma(\theta(X))$ . A renaming is a substitution  $\rho$  for which there exists the inverse  $\rho^{-1}$  such that  $\rho \rho^{-1} = \rho^{-1} \rho = \epsilon$ . The pre-ordering  $\leq$  (more general than) on substitutions is such that  $\theta \leq \sigma$  iff there exists  $\theta'$  such that  $\theta \theta' = \sigma$ . We say that  $\theta$  and  $\sigma$  are not comparable if neither  $\theta \leq \sigma$  nor  $\sigma \leq \theta$ . The result of the application of the substitution  $\theta$  to a term t is an *instance* of t denoted by  $t\theta$ . We define  $t \leq t'$  (t is more general than t') iff there exists  $\theta$  such that  $t\theta = t'$ . We say that t and t' are not comparable if neither  $t \leq t'$  nor  $t' \leq t$ . The relation  $\leq$  is a preorder. Let  $\approx$  be the associated equivalence relation (*variance*). A substitution  $\theta$  is a unifier of terms t and t' if  $t\theta = t'\theta$ . We denote by  $mgu(t_1, t_2)$  any idempotent most general unifier (mgu, in short) of  $t_1$  and  $t_2$ . All the above definitions can be extended to other syntactic objects in the obvious way.

Atoms, queries, clauses and programs in the language  $\mathcal{L}$  are defined as follows. An *atom* is an object of the form  $p(t_1, \ldots, t_n)$  where  $p \in \mathcal{P}$  is an *n*ary predicate symbol and  $t_1, \ldots, t_n \in \mathcal{T}$ . A query is a (possibly empty) finite sequence of atoms  $A_1, \ldots, A_m$ . The empty query is denoted by  $\Box$ . A *clause* is

 $<sup>^{</sup>a}$  For an extended version of this paper the reader is referred to  $^{?}$ .

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a formula of the form  $H \leftarrow \mathbf{B}$  where H is an atom and  $\mathbf{B}$  is a query. H is called the *head* of the clause and  $\mathbf{B}$  its *body*. When  $\mathbf{B}$  is empty,  $H \leftarrow \mathbf{B}$  is written  $H \leftarrow$  and is called a *unit clause*. A *program* is a finite set of clauses. Atoms are denoted by  $A, B, C, H, \ldots$ , queries by  $Q, \mathbf{A}, \mathbf{B}, \mathbf{C}, \ldots$ , clauses by  $c, d, \ldots$ , and programs by P. The language associated with a program P is obviously defined.

The computation process within the logic programming framework is based on the SLD resolution procedure. Consider a non empty query  $\mathbf{A}, B, \mathbf{C}$  and a clause c. Let  $H \leftarrow \mathbf{B}$  be a variant of c variable disjoint with  $\mathbf{A}, B, \mathbf{C}$ . Suppose that B and H unify and let  $\theta$  be their mgu. We write then

$$\mathbf{A}, B, \mathbf{C} \stackrel{\theta}{\Longrightarrow}_{P,c} (\mathbf{A}, \mathbf{B}, \mathbf{C}) \theta$$

and call it *SLD*-derivation step of  $\mathbf{A}, B, \mathbf{C}$  and c w.r.t. B, with an mgu  $\theta$ .  $H \leftarrow \mathbf{B}$  is called its *input clause*. B and  $B\theta$  are called the *selected atom* and the *selected atom instance*, respectively, of  $\mathbf{A}, B, \mathbf{C}$ . If the program P is clear from the context or the clause c is irrelevant we drop a reference to them. An SLD-derivation is obtained by iterating SLD-derivation steps. A maximal sequence

$$\delta := Q_0 \stackrel{\theta_1}{\Longrightarrow}_{P,c_1} Q_1 \stackrel{\theta_2}{\Longrightarrow}_{P,c_2} \cdots Q_n \stackrel{\theta_{n+1}}{\Longrightarrow}_{P,c_{n+1}} Q_{n+1} \cdots$$

of SLD-derivation steps is called an *SLD-derivation of*  $P \cup \{Q_0\}$  if  $Q_0, \ldots, Q_{n+1}, \ldots$  are queries,  $\theta_1, \ldots, \theta_{n+1}, \ldots$  are substitutions,  $c_1, \ldots, c_{n+1}, \ldots$  are clauses of P, and for every step the input clauses are standardized apart.

The length of an SLD-derivation  $\delta$ , denoted by  $len(\delta)$ , is the number of SLD-derivation steps in  $\delta$ . We denote by  $Sel(\delta)$  the set of all the selected atom instances, one for each derivation step, of  $\delta$ . SLD-derivations can be finite or infinite. Consider a finite SLD-derivation  $\delta := Q_0 \stackrel{\theta_1}{\Longrightarrow}_{P,c_1} Q_1 \cdots \stackrel{\theta_n}{\Longrightarrow}_{P,c_n} Q_n$  of a query  $Q := Q_0$ , also denoted by  $\delta := Q_0 \stackrel{\theta}{\Longrightarrow} Q_n$  with  $\theta = \theta_1 \cdots \theta_n$ . If  $Q_n = \Box$  then  $\delta$  is called successful. The restriction of  $\theta$  to the variables of Q, denoted by  $\theta|_Q$  is called a computed answer substitution (c.a.s., in short) of Q and  $Q\theta$  is called a computed instance of Q. If  $Q_n$  is non-empty and there is no input clause whose head unifies with the selected atom of  $Q_n$ , then the SLD-derivation  $\delta$  is called failed.

#### 3 Interpretations

By the extended Herbrand base  $\mathcal{B}_{\mathcal{L}}^{\mathcal{E}}$  for a language  $\mathcal{L}$  we mean the quotient set of all the atoms of  $\mathcal{L}$  with respect to  $\approx$ . The ordering induced by  $\leq$  on  $\mathcal{B}_{\mathcal{L}}^{\mathcal{E}}$ will still be denoted by  $\leq$ . For the sake of simplicity, we will represent the

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equivalence class of an atom A by A itself. An *interpretation* I is any subset of  $\mathcal{B}_{\mathcal{L}}^{\mathcal{E}}$ . When the language is clear from the context then we drop a reference to it. We denote by inst(I) the set of all instances of atoms in I and by ground(I) the set of all ground instances of atoms in I. Moreover, we denote by Min(I) the set of minimal elements of I defined as ?:  $Min(I) = \{A \in I \mid \forall A' \in I \text{ if } A' \leq A \text{ then } A = A'\}.$ 

**Example 3.1** Let *I* be the set  $\{\texttt{sublist}(u, v) \mid u \text{ is a list of at most two vowels and } v \text{ is any term}\}$ . Then

 $Min(I) = \{ \texttt{sublist}([], Y_s), \texttt{sublist}([a], Y_s), \dots, \texttt{sublist}([a, e], Y_s), \dots, \texttt{sublist}([o, u], Y_s) \}$ where  $Y_s$  is a variable.

The notion of truth extends the classical one to account for non-ground formulas in the interpretations. So, if A is an atom then  $I \models A$  iff  $A \in inst(I)$ ; whereas, if Q is a query of the form  $A_1, \ldots, A_n$  then  $I \models Q$  iff  $A_i \in inst(I)$  for all  $i \in \{1, \ldots, n\}$ . Note that  $I \models A$  iff there exists  $A' \in I$  such that  $A' \leq A$ ; moreover, if  $I \models A$  then for all A' such that  $A \leq A'$ ,  $I \models A'$ .

**Definition 3.1** (Minimal Instances of an Atom Satisfying I) Let I be an interpretation and A be an atom. The set of minimal instances of A satisfying I is the set

$$Min_I(A) = Min(\{A' \in inst(A) \mid I \models A'\}).$$

**Example 3.2** Consider the interpretation I of the Example 3.1. Then

 $Min_{I}(\texttt{sublist}([X|X_{s}], Y_{s})) = \{\texttt{sublist}([a], Y_{s}), \dots, \texttt{sublist}([a, e], Y_{s}), \dots, \texttt{sublist}([o, u], Y_{s})\}.$ 

Observe that although I is infinite, both Min(I) and  $Min_I(sublist([X|X_s], Y_s))$  are finite.

The following notion of specialised unifier is the basic concept upon which specialised derivations are defined.

**Definition 3.2** (Specialised Unifiers) Let I be an interpretation and  $t_1$  and  $t_2$  be terms. A substitution  $\theta$  is a *I*-unifier of  $t_1$  and  $t_2$  if  $t_1\theta = t_2\theta$  and  $I \models t_1\theta$ . A most general *I*-unifier (mgu<sub>I</sub>, in short) of  $t_1$  and  $t_2$ , denoted by

$$mgu_I(t_1,t_2),$$

is any idempotent *I*-unifier  $\theta$  such that for any other *I*-unifier  $\theta'$ , either  $\theta \leq \theta'$  or  $\theta$  and  $\theta'$  are not comparable.

**Example 3.3** Consider again the interpretation I of the Example 3.1. Then

$$mgu_{I}(\texttt{sublist}([a, X], Y_{s}), \texttt{sublist}(Z_{s}, Y_{s}))$$

denotes both substitutions

$$\theta_1 = \{X/e, Z_s/[a, e]\}, \ \theta_2 = \{X/i, Z_s/[a, i]\}, \ \theta_3 = \{X/o, Z_s/[a, o]\}, \ \theta_4 = \{X/u, Z_s/[a, u]\}$$

Note that the substitutions  $\theta_1, \ldots, \theta_4$  are pairwise not comparable. For the sake of simplicity, we will write  $\theta = mgu_I(t_1, t_2)$  even if  $mgu_I(t_1, t_2)$  is not uniquely determined.

It is well known that set inclusion does not adequately reflect the property of non-ground atoms of being representatives of all their ground instances. So, in this paper we refer to the partial ordering  $\sqsubseteq$  on interpretations defined by Falaschi *et al.*? as follows:

- $I_1 \leq I_2$  iff  $\forall A_1 \in I_1, \exists A_2 \in I_2$  such that  $A_2 \leq A_1$ .
- $I_1 \sqsubseteq I_2$  iff  $(I_1 \le I_2)$  and  $(I_2 \le I_1$  implies  $I_1 \subseteq I_2)$ .

Intuitively,  $I_1 \leq I_2$  means that every atom verified by  $I_1$  is also verified by  $I_2$ ( $I_2$  contains more *positive information*). Note that  $\leq$  has different meanings for atoms and interpretations.  $I_1 \sqsubseteq I_2$  means either that  $I_2$  strictly contains more positive information than  $I_1$  or (if the amount of positive information is the same) that  $I_1$  expresses it by fewer elements than  $I_2$  ( $I_2$  is more redundant). The relation  $\leq$  is a preorder, whereas the relation  $\sqsubseteq$  is an ordering. If  $I_1 \subseteq I_2$ , then  $I_1 \sqsubseteq I_2$ . It is easy to see that for every interpretation I,  $I \leq Min(I)$ , but also  $Min(I) \sqsubseteq I$ . Moreover, for every atom A,  $Min_I(A) \sqsubseteq Min(I)$ .

The set of all the interpretations  $\mathcal{I}$  with the ordering  $\sqsubseteq$  is a complete lattice, noted by  $\langle \mathcal{I}, \sqsubseteq \rangle$ , where  $\mathcal{B}^{\mathcal{E}}$  is the top element and  $\emptyset$  is the bottom element.

### 4 Specialised Derivations

We are now ready to introduce our specialised derivations. They differ from the standard SLD-derivations for the fact that at each derivation step specialised most general unifiers are computed instead of standard mgus.

**Definition 4.1** Assume given a program P and an interpretation I. Let  $\mathbf{A}, B, \mathbf{C}$  be a non empty query, c be a clause,  $H \leftarrow \mathbf{B}$  be a variant of c variable disjoint with  $\mathbf{A}, B, \mathbf{C}$ . Suppose that B and H unify and  $\theta = mgu_I(B, H)$ . We write then

$$\mathbf{A}, B, \mathbf{C} \Longrightarrow_{P,c,I} (\mathbf{A}, \mathbf{B}, \mathbf{C}) \theta$$

and call it *I*-derivation step of  $\mathbf{A}, B, \mathbf{C}$  and c w.r.t. B, with an  $mgu \theta$ .  $H \leftarrow \mathbf{B}$  is called its *I*-input clause. B and  $B\theta$  are called the *I*-selected atom and the

*I-selected atom instance*, respectively, of  $\mathbf{A}, B, \mathbf{C}$ . An *I-derivation of*  $P \cup \{Q_0\}$  is a maximal sequence

$$\delta := Q_0 \stackrel{\theta_1}{\Longrightarrow}_{P_i^c_1,I} Q_1 \stackrel{\theta_2}{\Longrightarrow}_{P_i^c_2,I} \cdots Q_n \stackrel{\theta_{n+1}}{\Longrightarrow}_{P_i^c_{n+1},I} Q_{n+1} \cdots$$

of *I*-derivation steps where the *I*-input clauses are standardized apart. Consider a finite *I*-derivation  $\delta := Q_0 \xrightarrow{\theta_1}_{P,c_1,I} Q_1 \cdots \xrightarrow{\theta_n}_{P,c_n,I} Q_n$  of a query  $Q := Q_0$ , also denoted by  $\delta := Q_0 \xrightarrow{\theta}_{I} Q_n$  with  $\theta = \theta_1 \cdots \theta_n$ . If  $Q_n = \Box$  then  $\delta$  is called successful. The restriction of  $\theta$  to the variables of Q is called a *I*-computed answer substitution (*I*-c.a.s. in short) of Q and  $Q\theta$  is called a *I*-computed instance of Q. If  $Q_n$  is non-empty and there is no *I*-input clause  $H \leftarrow \mathbf{B}$  such that H unifies with the *I*-selected atom B of  $Q_n$  with a substitution  $\theta = mgu_I(B, H)$ , then  $\delta$  is called *failed*.

Note that for every *I*-derivation  $\delta$ ,  $Sel(\delta) \leq I$ , or equivalently,  $I \models A$  for all  $A \in Sel(\delta)$ .

Whenever I is omitted, we implicitly assume that  $I = \mathcal{B}^{\mathcal{E}}$ . It is easy to see that if I is the extended Herbrand base  $\mathcal{B}^{\mathcal{E}}$ , then I-derivations are indeed SLD-derivations.

**Example 4.1** Consider the interpretation I of Example 3.1 and the program SUBLIST:

 $\begin{aligned} & \texttt{sublist}([], Y_s). \\ & \texttt{sublist}([X|X_s], [X|Y_s]) : -\texttt{sublist}(X_s, Y_s). \\ & \texttt{sublist}(X_s, [Y|Y_s]) : -\texttt{sublist}(X_s, Y_s). \end{aligned}$ 

It produces two *I*-c.a.s. for the query sublist([a, X], [a, e, a, b, a, u]) that are  $\theta_1 = \{X_s/[a, e]\}$  and  $\theta_2 = \{X_s/[a, u]\}$ , whereas any *I*-derivation of the query sublist([a, b], [a, e, a, b, a, u]) fails.

A Lifting Lemma for specialised derivations holds.

**Lemma 4.1** (Specialised Lifting Lemma) Let I be an interpretation and  $\delta := Q\theta \stackrel{\sigma}{\longmapsto}_I \Box$  be a successful I-derivation of a query  $Q\theta$ . Then, there exists a successful I-derivation  $\delta' := Q \stackrel{\sigma'}{\longmapsto}_I \Box$  where  $\sigma' \leq \theta\sigma$ .

**Proof 1** By induction on  $len(\delta)$ . Basis. Let  $len(\delta) = 1$ . In this case Q consists of only one atom B and

$$\delta := B\theta \stackrel{\sigma}{\Longrightarrow}_{I} \Box$$

where the *I*-input clause used in the *I*-derivation step is a unit clause  $H \leftarrow$ and  $\sigma = mgu_I(B\theta, H)$ . We can assume that  $\theta_{|H} = \epsilon$ . Then,  $\theta\sigma$  is a *I*-unifier

of B and H. Hence, there exists  $\sigma' = mgu_I(B, H)$  such that  $\sigma' \leq \theta \sigma$ . Induction step. Let  $len(\delta) > 1$ . Then  $Q := \mathbf{A}, B, \mathbf{C}$  and

$$\delta := (\mathbf{A}, B, \mathbf{C})\theta \stackrel{\sigma_1}{\Longrightarrow}_I (\mathbf{A}, \mathbf{B}, \mathbf{C})\theta\sigma_1 \stackrel{\sigma_2}{\longmapsto}_I \square$$

where B is the I-selected atom of Q,  $c := H \leftarrow \mathbf{B}$  is the first I-input clause,  $\sigma_1 = mgu_I(B\theta, H)$  and  $\sigma = \sigma_1\sigma_2$ . We can assume that  $\theta_{|c} = \epsilon$ . Then,  $\theta\sigma_1$ is a I-unifier of B and H. Hence, there exists  $\sigma'_1 = mgu_I(B, H)$  such that  $\sigma'_1 \leq \theta\sigma$ . Let  $\gamma$  be a substitution such that  $\sigma'_1\gamma = \theta\sigma_1$ . By the inductive hypothesis, there exists a successful I-derivation

$$(\mathbf{A}, \mathbf{B}, \mathbf{C}) \sigma'_1 \stackrel{\sigma'_2}{\longmapsto}_I \square$$

where  $\sigma'_2 \leq \gamma \sigma_2$ . Therefore,

$$\delta' := (\mathbf{A}, B, \mathbf{C}) \stackrel{\sigma'_1}{\Longrightarrow}_I (\mathbf{A}, \mathbf{B}, \mathbf{C}) \sigma'_1 \stackrel{\sigma'_2}{\longmapsto}_I \square$$

with  $\sigma' = \sigma'_1 \sigma'_2$  is a successful *I*-derivation such that  $\sigma' \leq \sigma'_1 \gamma \sigma_2 = \theta \sigma_1 \sigma_2 = \theta \sigma$ .  $\Box$ 

# 5 Pre/Call/Post Specifications

In this section we propose a characterization of program behaviour in terms of pre/call/post specifications based on the notion of specialised derivation defined above.

**Definition 5.1** Let P be a program and Pre, Call and Post be interpretations. We say that P is specialisable call correct (s.c.c., in short) with the pre-condition Pre, the call-condition Call and the post-condition Post, denoted by

$$\{Pre, Call\}P\{Post\}_{spec},$$

if and only if for any query Q,

 $Pre \models Q \text{ and } Q \xrightarrow{\theta}_{P,Call} \Box \text{ implies } Post \models Q\theta.$ 

Example 5.1 Consider the program SUBLIST and the interpretations

 $Pre = \{ \texttt{sublist}(u, v) \mid u \text{ is a variable and } v \text{ is a ground list} \}$  $Call = \{ \texttt{sublist}(u, v) \mid u \text{ is a list of at most two vowels and } v \text{ is a term} \}$  $Post = \{ \texttt{sublist}(u, v) \mid u \text{ is a sublist of at most two vowels of the list } v \}$ 

The program SUBLIST is s.c.c. wrt *Pre*, *Call* and *Post*. We define the strongest post-condition of *P* wrt *Pre* and *Call* as below. **Definition 5.2** (Strongest Post-condition) Let P be a program. The strongest post-condition of P with respect to a pre-condition Pre and a call-condition Call, noted sp(P, Pre, Call), is the smallest interpretation Post wrt to  $\sqsubseteq$  such that  $\{Pre, Call\}P\{Post\}_{spec}$ .

**Definition 5.3** Let P be a program and Call be an interpretation. We say that P is call-correct wrt Call iff for any SLD-derivation  $\delta$ ,  $Sel(\delta) \leq Call$ , i.e.,  $\delta$  is a Call-derivation.

# 6 Specialised Operational and Fixpoint Semantics

Based on the *s*-semantics approach<sup>?</sup>, we define both a top-down and a bottomup construction which model the specialised computed answer substitutions of the specialised derivations.

**Definition 6.1** (Specialised Operational Semantics) Let P be a program and Call be an interpretation. The Call-computed answer substitution semantics of P is

$$\mathcal{O}_{Call}(P) = \{ A \in \mathcal{B}^{\mathcal{E}} \mid \exists p \in \mathcal{P}, \exists X_1, \dots, X_n \text{ distinct variables in } \mathcal{V}, \exists \theta, \\ p(X_1, \dots, X_n) \stackrel{\theta}{\longmapsto}_{P, Call} \Box, \\ A = p(X_1, \dots, X_n) \theta \}.$$

Note that if Call is the extended Herbrand base  $\mathcal{B}^{\mathcal{E}}$ , then  $\mathcal{O}_{\mathcal{B}^{\mathcal{E}}}(P)$  is the original s-semantics defined by Falaschi *et al.* in?.

**Example 6.1** Consider the program SUBLIST and the interpretation *Call* of Example ??.

$$\mathcal{O}_{Call}(\texttt{SUBLIST}) = \{\texttt{sublist}([], [X_1, \dots, X_n]), n \ge 0\} \cup \\ \{\texttt{sublist}([a], [X_1, \dots, X_n, a, X_{n+1}, \dots, X_{n+m}]), n, m \ge 0\} \cup \\ \dots \\ \{\texttt{sublist}([a, e], [X_1, \dots, X_n, a, e, X_{n+1}, \dots, X_{n+m}]), n, m \ge 0\} \cup \dots$$

We define a projection operator on the set of interpretations  $\mathcal{I}$ . It allows us to characterize the strongest post-condition of a program P with respect to a pre-condition Pre and a call-condition Call.

**Definition 6.2** ( $\Pi_I$ ) Let *I* and *J* be interpretations. The projection of *J* on the interpretation *I* is:

$$\Pi_{I}(J) = \{ A \in \mathcal{B}^{\mathcal{E}} \mid \exists A' \in Min(I), \exists A'' \in J \\ \exists \theta = mgu(A', A'') \\ A = A'\theta \}.$$

**Proposition 6.1** Let P be a program and Pre and Call be interpretations. Then  $Min(\Pi_{Pre}(\mathcal{O}_{Call}(P))) = sp(P, Pre, Call).$ 

**Proof 2** We prove that  $\{Pre, Call\}P\{Post\}_{spec}$  holds, i.e., for any query Qsuch that  $Pre \models Q$  and  $Q \stackrel{\theta}{\longmapsto}_{Call} \Box$ , then  $Min(\prod_{Pre}(\mathcal{O}_{Call}(P))) \models Q\theta$ . Let  $Q := A_1, \ldots, A_n$ . It is easy to prove that for all  $j \in \{1, \ldots, n\}$ , there exists a successful Call-derivation  $A_j\theta \stackrel{\gamma_j}{\mapsto}_{Call} \Box$  where  $A_j\theta\gamma_j = A_j\theta$ . For all  $j \in \{1, \ldots, n\}$ , let  $p_j \in \mathcal{P}$  and  $X_1, \ldots, X_n$  be distinct variables in  $\mathcal{V}$  such that  $p_j(X_1, \ldots, X_n) \leq A_j\theta$ . By Lemma 4.1, for all  $j \in \{1, \ldots, n\}$ , there exists a successful Call-derivation  $p_j(X_1, \ldots, X_n) \stackrel{\theta_j}{\mapsto}_{Call} \Box$  where  $p_j(X_1, \ldots, X_n)\theta_j \leq$  $A_j\theta$ . By Definition ??,  $p_j(X_1, \ldots, X_n)\theta_j \in \mathcal{O}_{Call}(P)$ . Moreover, since  $Pre \models$  $A_j$ , there exists  $A'_j \in Min(Pre)$  such that  $A'_j \leq A_j\theta$ . Let  $\theta'_j = mgu(p_j(X_1, \ldots, X_n)\theta_j, A'_j)$ . By properties of substitutions,  $A'_j\theta'_j \leq A_j\theta$  and by Definition ??,  $A'_j\theta'_j \in$  $\prod_{Pre}(\mathcal{O}_{Call}(P))$ . This proves that for all j,  $Min(\prod_{Pre}(\mathcal{O}_{Call}(P))) \models A_j\theta$  and then  $Min(\prod_{Pre}(\mathcal{O}_{Call}(P))) \models Q\theta$ .

Further, for any interpretation J such that  $\{Pre, Call\}P\{J\}_{spec}, Min(\Pi_{Pre}(\mathcal{O}_{Call}(P))) \sqsubseteq J$ . First,  $Min(\Pi_{Pre}(\mathcal{O}_{Call}(P))) \leq J$ . In fact, if  $A \in Min(\Pi_{Pre}(\mathcal{O}_{Call}(P)))$ then, by Definitions ?? and ??, there exist  $p \in \mathcal{P}, X_1, \ldots, X_n$  distinct variables in  $\mathcal{V}$  and a substitution  $\theta$  such that  $p(X_1, \ldots, X_n) \stackrel{\theta}{\longrightarrow} Call \square$  and  $A' \in Min(Pre)$  with  $\theta' = mgu(p(X_1, \ldots, X_n)\theta, A')$  and  $A = A'\theta'$ . Therefore, by the hypothesis  $\{Pre, Call\}P\{J\}_{spec}$ , there exists  $A'' \in J$  such that  $A'' \leq A$ . Finally, if  $J \leq Min(\Pi_{Pre}(\mathcal{O}_{Call}(P)))$  then  $Min(\Pi_{Pre}(\mathcal{O}_{Call}(P))) \subseteq J$ . This follows immediately by Definition of operator Min.  $\square$ Observe that if Pre is the extended Herbrand base  $\mathcal{B}^{\mathcal{E}}$ , then  $\Pi_{Pre}(\mathcal{O}_{Call}(P)) = \mathcal{O}_{Call}(P)$  and then,  $sp(P, \mathcal{B}^{\mathcal{E}}, Call) = Min(\mathcal{O}_{Call}(P))$ . Lemma 6.1 Let P be a program and Pre, Call and Post be interpretations. Then,  $\{Pre, Call\}P\{Post\}_{spec}$  holds iff  $sp(P, Pre, Call) \sqsubseteq Post$ .

In order to define the specialised fixpoint semantics, we introduce an immediate consequence operator  $T_{P,I}$  on the set of interpretations  $\mathcal{I}$ . Its least fixpoint can be shown to be equivalent to the specialised operational semantics  $\mathcal{O}_I(P)$ 

**Definition 6.3** ( $T_{P,I}$  Transformation) Let P be a program and I and J be interpretations.

$$T_{P,I}(J) = \{A \in \mathcal{B}^{\mathcal{E}} \mid \exists H \leftarrow B_1, \dots, B_n \in P, \\ \exists B'_1, \dots, B'_n \text{ variant of atoms in } J \text{ and renamed apart}, \\ \exists \theta = mgu_I((B_1, \dots, B_n), (B'_1, \dots, B'_n)), \\ A \in Min_I(H\theta) \}.$$

Note that if I is the extended Herbrand base  $\mathcal{B}^{\mathcal{E}}$ , then  $T_{P,\mathcal{B}^{\mathcal{E}}}$  coincides with the S-transformation  $T_S$  defined in?

**Proposition 6.2** (Monotonicity and Continuity of  $T_{P,I}$ ) Let P be a program and I be an interpretation. The transformation  $T_{P,I}$  is monotonic and continuous in the complete lattice  $\langle \mathcal{I}, \subseteq \rangle$ .

**Proof 3** Analogous to monotonicity and continuity of  $T_S$  in<sup>?</sup>.  $\Box$ 

**Definition 6.4** (Powers of  $T_{P,I}$ ) As usual, we define powers of transformation  $T_{P,I}$  as follows:

$$T_{P,I} \uparrow 0 = \emptyset, T_{P,I} \uparrow n + 1 = T_{P,I}(T_{P,I} \uparrow n), T_{P,I} \uparrow \omega = \bigcup_{n>0} (T_{P,I} \uparrow n).$$

**Proposition 6.3**  $T_{P,I} \uparrow \omega$  is the least fixpoint of  $T_{P,I}$  in the complete lattice  $\langle \mathcal{I}, \sqsubseteq \rangle$ .

**Proof 4** By proposition ??,  $T_{P,I} \uparrow \omega$  is the least fixpoint of  $T_{P,I}$  with respect to set inclusion. Moreover, for any fixpoint J of  $T_{P,I}$ ,  $T_{P,I} \uparrow \omega \subseteq J$ , i.e., by Definition of  $\subseteq$ ,  $T_{P,I} \uparrow \omega \subseteq J$ .  $\Box$ 

We are now ready to formally define the specialised fixpoint semantics. **Definition 6.5** (Specialised Fixpoint Semantics) Let P be a program and *Call* be an interpretation. The *Call-fixpoint semantics of* P is defined as

$$\mathcal{F}_{Call}(P) = T_{P,Call} \uparrow \omega$$
.

## 7 Specialised Programs

In this section we show that any s.c.c. program P wrt a given pre/call/post specification Pre, Call and Post, i.e., such that  $\{Pre, Call\}P\{Post\}_{spec}$ , can be transformed into a specialised program  $P_{Call}$  such that  $\{Pre, \mathcal{B}^{\mathcal{E}}\}P_{Call}\{Post\}_{spec}$  holds and  $P_{Call}$  is call-correct wrt Call.

Specialised programs are formally defined as follows.

**Definition 7.1** (Specialised Program) Let P be a program and *Call* be an interpretation. The *Call-program corresponding to* P, denoted by  $P_{Call}$ , is defined as:

$$P_{Call} = \{ (H \leftarrow \mathbf{B})\gamma \mid H \leftarrow \mathbf{B} \in P \text{ and } H\gamma \in Min_{Call}(H) \}.$$

Observe that a variant of a clause of  $P_{Call}$  can be viewed as a clause of the form  $(H \leftarrow \mathbf{B})\gamma$  where  $H \leftarrow \mathbf{B}$  is a variant of a clause of P and  $H\gamma \in Min_{Call}(H)$ . **Example 7.1** Consider the program SUBLIST and the interpretations Pre, Call and Post given in the Example ??. The program SUBLIST can be transformed into a specialised program SUBLIST<sub>Call</sub> as follows.

$$\begin{split} & \texttt{sublist}([\ ], Y_s). \\ & \texttt{sublist}([\ a], [\ a | Y_s]): -\texttt{sublist}([\ ], Y_s). \\ & \dots \\ & \texttt{sublist}([\ a, e], [\ a | Y_s]): -\texttt{sublist}([\ e], Y_s). \\ & \dots \\ & \texttt{sublist}([\ a], [Y | Y_s]): -\texttt{sublist}([\ a], Y_s). \\ & \dots \\ & \texttt{sublist}([\ a, e], [Y | Y_s]): -\texttt{sublist}([\ a, e], Y_s). \end{split}$$

It is easy to see that the assertion  $\{Pre, \mathcal{B}^{\mathcal{E}}\}$  SUBLIST<sub>Call</sub>  $\{Post\}_{spec}$  holds, meaning that for any query Q such that  $Pre \models Q$  and successful SLD-derivation  $\delta$  of SUBLIST<sub>Call</sub>  $\cup$   $\{Q\}$  with computed answer substitution  $\theta$ ,  $Post \models Q\theta$ . Moreover, for any selected atom instance  $A \in Sel(\delta)$ ,  $Call \models A$ .

**Proposition 7.1** Let P be a program such that  $\{Pre, Call\}P\{Post\}_{spec}$ . Then,  $\{Pre, \mathcal{B}^{\mathcal{E}}\}P_{Call}\{Post\}_{spec}$  holds.

**Proof 5** Let Q be a query such that  $Pre \models Q$  and  $\delta := Q \stackrel{\theta}{\longmapsto}_{P_{Call},\mathcal{B}^{\mathcal{E}}} \Box$  be a successful SLD-derivation of  $P_{Call} \cup \{Q\}$ . We prove that  $Post \models Q\theta$ . In order to obtain this result, we prove that there exists a successful Call-derivation  $\delta' := Q\theta \stackrel{\sigma}{\longmapsto}_{P,Call} \Box$  of  $P \cup \{Q\theta\}$  where  $Q\theta\sigma = Q\theta$ . The fact that  $Post \models Q\theta$  follows from the hypothesis  $\{Pre, Call\}P\{Post\}_{spec}$ . By induction on  $len(\delta)$ .

*Basis.* Let  $len(\delta) = 1$ . In this case, Q consists of only one atom B and

$$\delta := B \stackrel{\theta}{\Longrightarrow}_{P_{Call}\mathcal{B}^{\mathcal{E}}} \Box$$

where the input clause is a unit clause of the form  $(H \leftarrow)\gamma$  such that  $H \leftarrow$  is a variant of a clause of P,  $H\gamma \in Min_{Call}(H)$  and  $\theta = mgu(B, H\gamma)$ . We can assume that  $H \leftarrow$  is variable disjoint with  $B\theta$ . Let  $\sigma$  be a substitution such that  $\sigma_{|B\theta} = \epsilon$  and  $\sigma_{|H} = \gamma\theta$ . By properties of substitutions and Definition 3.2,  $\sigma = mgu_{Call}(B\theta, H)$ . Hence,

$$\delta' := B\theta \stackrel{\sigma}{\Longrightarrow}_{P.Call} \square$$

is a successful Call-derivation of  $B\theta$  such that  $B\theta\sigma = B\theta$ . Induction step. Let  $len(\delta) > 1$ . In this case  $Q := \mathbf{A}, B, \mathbf{C}$  and

$$\delta := \mathbf{A}, B, \mathbf{C} \stackrel{\theta_1}{\Longrightarrow}_{P_{Call}, \mathcal{B}^{\mathcal{E}}} (\mathbf{A}, \mathbf{B}\gamma, \mathbf{C}) \theta_1 \stackrel{\theta_2}{\longmapsto}_{P_{Call}, \mathcal{B}^{\mathcal{E}}} \Box$$

where the first input clause has the form  $(H \leftarrow \mathbf{B})\gamma$  such that  $H \leftarrow \mathbf{B}$  is a variant of a clause of  $P, H\gamma \in Min_{Call}(H)$  and  $\theta_1 = mgu(B, H\gamma)$ . Let

 $\theta = \theta_1 \theta_2$ . We can assume that  $H \leftarrow \mathbf{B}$  is variable disjoint with  $Q\theta$ . Let  $\sigma_1$  be a substitution such that  $\sigma_1|_{Q\theta} = \epsilon$  and  $\sigma_1|_H = \gamma \theta$ . By properties of substitutions and Definition 3.2,  $\sigma_1 = mgu_{Call}(B\theta, H)$ . Hence, by the inductive hypothesis and Definition 4.1, there exists a successful *I*-derivation

$$\delta' := (\mathbf{A}, B, \mathbf{C}) \theta \stackrel{\sigma_1}{\Longrightarrow}_{P, Call} (\mathbf{A}, \mathbf{B}, \mathbf{C}) \sigma_1 \stackrel{\sigma_2}{\longmapsto}_{P, Call} \square$$

where  $Q\theta\sigma_1\sigma_2 = Q\theta$ .  $\Box$ 

**Proposition 7.2** Let P be a program such that  $\{Pre, Call\}P\{Post\}_{spec}$ . Then, P is call-correct wrt Call.

**Proof 6** Let  $\delta$  be an SLD-derivation of  $P_{Call} \cup \{Q\}$ . We prove that for all  $A \in Sel(\delta)$ ,  $Call \models A$ . Indeed, for all  $A \in Sel(\delta)$  there exists and SLD-derivation step

$$\mathbf{A}, B, \mathbf{C} \stackrel{\theta}{\Longrightarrow}_{P_{Call}, \mathcal{B}^{\mathcal{E}}} (\mathbf{A}, \mathbf{B}, \mathbf{C}) \theta$$

of  $\delta$  where  $A = B\theta$ , B is the selected atom of the query  $\mathbf{A}, B, \mathbf{C}, (H \leftarrow \mathbf{B})\gamma$  is the input clause used in the SLD-derivation step,  $H \leftarrow \mathbf{B}$  is a variant of a clause of  $P, H\gamma \in Min_{Call}(H)$  and  $\theta = mgu(B, H\gamma)$ . Hence,  $Call \models H\gamma\theta = B\theta = A$ .  $\Box$ 

Both the operational and the fixpoint semantics of specialised programs are equivalent to the corresponding specialised semantics of the original programs, i.e., for any program P and interpretation I, both  $\mathcal{O}_I(P) = \mathcal{O}(P_I)$  and  $\mathcal{F}_I(P) = \mathcal{F}(P_I)$  hold. Due to space limitation, the reader is referred to? for a rigorous proof of Theorems stated below.

**Theorem 7.1** Let P be a program and Call be an interpretation. Then  $\mathcal{O}(P_{Call}) = \mathcal{O}_{Call}(P)$ .

**Proof 7** Recall that  $\mathcal{O}(P_{Call}) = \mathcal{O}_{\mathcal{B}^{\mathcal{E}}}(P_{Call})$ . The result follows from the following claim.

Claim: There exists a successful SLD-derivation  $\delta := Q \stackrel{\theta}{\longmapsto}_{P_{Call},\mathcal{B}^{\mathcal{E}}} \Box$  of a query Q iff there exists a successful Call-derivation  $\delta' := Q \stackrel{\theta'}{\longmapsto}_{P_{Call}} \Box$  where

query Q iff there exists a successful *Call*-derivation  $\delta' := Q \stackrel{\sim}{\longmapsto}_{P,Call} \square$  where  $Q\theta = Q\theta'$ .  $\square$ 

**Theorem 7.2** Let P be a program and Call be an interpretation. Then  $\mathcal{F}(P_{Call}) = \mathcal{F}_{Call}(P)$ .

**Proof 8** Recall that  $\mathcal{F}(P_{Call}) = \mathcal{F}_{\mathcal{B}^{\varepsilon}}(P_{Call})$ . The result follows from the following claim.

Claim: For all  $n > 0, A \in T_{P_{Call}, \mathcal{B}^{\varepsilon}} \uparrow n$  iff  $A \in T_{P, Call} \uparrow n$ .  $\Box$ 

The equivalence of the specialised operational and fixpoint semantics follows immediately.