

A Privacy Preserving Web Recommender System

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ABSTRACT

In this paper we propose a recommender system that helps users to navigate through the Web by providing dynamically generated links to pages that have not yet been visited and are of potential interest. To this end, traditional recommender systems use Web Usage Mining (WUM) techniques in order to automatically extract knowledge from Web usage data. Thanks to WUM techniques we are able to classify users and adaptively provide useful recommendations. The drawback of a user classification approach is that it makes the system prone to privacy breaches.

Our contribution here is π SUGGEST, a privacy enhanced recommender system that allows for creating serendipity recommendations without breaching users privacy. We will show that our system does not provide malicious users with any mean to track or detect users activity or preferences.

Categories and Subject Descriptors

K.4.1 [Public Policy Issues]: Privacy; I.5.1 [Models]: Statistical

General Terms

Algorithms, Security

Keywords

Web Recommender Systems, Privacy Preserving User Modeling

1. INTRODUCTION

The continuous and rapid growth of the Web has led to the development of new methods and tools in the Web recommender or personalization domain. In [6] the goal of the Web personalization is defined as “provide users with the information they want or need, without expecting from them to ask for it explicitly”.

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Web Mining has shown to be a viable technique to discover information “hidden” into Web-related data [4]. In particular, Web Usage Mining (WUM) is the process of extracting knowledge from Web users access data (or click-stream) by exploiting Data Mining (DM) technologies [5]. It can be used for different purposes such as *personalization*, *system improvement* and *site modification*.

The knowledge extracted is actually a classification model for users in different groups with different interests. Obviously the presence of such classification system can introduce privacy breaches, by either disclosing personal information or allowing malicious queries capable of reconstructing the knowledge collected by the system. In this work we mainly focus on this last aspect, and present a WUM system, called π SUGGEST, which is designed to dynamically generate personalized contents of potential interest for users of a Web Site, without providing any privacy breaches to malicious users. The architecture of π SUGGEST is based on a two-tier structure. One of them has to be plugged-in into the browser at the client-side. The other tier is based on an incremental personalization procedure, tightly coupled with the Web server. Its knowledge base is incrementally updated by monitoring usage data, and then notified to the client, which will be able to use it to personalize *on-the-fly* the requested HTML page, by appending a list of page links (*suggestions*).

Eventually, we define a measure of privacy in order to evaluate with which confidence a malicious user can infer users activities from the provided suggestions. The quality of suggestions was evaluated by adopting the metric introduced in [2]. This metric tries to estimate the effectiveness of a recommendation system as the capacity of anticipating users requests that will be made farther in the future.

Summarizing, the main contributions of this work are: an algorithm to incrementally generate users profiles in a privacy preserving way. A general privacy measure for classification-based Web recommender systems. Finally we will show that π SUGGEST successfully preserves users privacy w.r.t. the measure we introduced.

The rest of the paper is organized as follow. In Section 2 we show some works related to this paper. Section 3 presents the architecture and the algorithms used by π SUGGEST. Section 4 presents a framework for analyzing privacy in cluster-based recommender systems in general and we adopt it for the analysis of π SUGGEST's privacy. Finally in Section 5 we conclude the paper by presenting some forthcoming work.

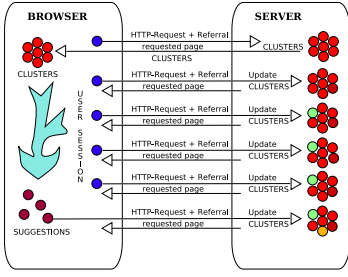


Figure 1: π SUGGEST two tier architecture.

2. PRIVACY PRESERVING WEB RECOMMENDER SYSTEMS

In the past, several WUM projects have been proposed to foresee users preference and their navigation behavior. In the following we review some of the most significant WUM projects that can be compared with our system. The survey in [1] contains an overview of these systems.

To the best of our knowledge, no Web Recommendation Systems that take into account privacy concerns have been yet designed. Only a preliminary work [7], aimed to formalize the problem and give a measure of the amount of privacy provided to the users, has been presented. In that paper a recommendation system is seen as a user classifier. Users who share at least w identical ratings (e.g. they visited the same w web pages) can be considered similar, with hammock distance $l = 1$. Given this similarity relationship, a social network over users data can be built by linking similar users. This network will be likely to form groups and therefore to detect different habits among users. Once a new user enters the system, the given recommendations consists of the ratings expressed by similar users, but that have not been already expressed by the user himself.

Classifying users in such a way turns out to be a strong privacy breach, where by privacy breach we mean the chance for a malicious user to track users activities or preferences. For example, suppose that a user rates items $\{a, b, c, d\}$ (e.g. items can be web pages) and receives as a recommendation item e . Then we know that there is a bunch of users who actually rated all the items $\{a, b, c, d, e\}$ at the same time. This is a first kind of breach, since we have detected the actual behavior of a group of users.

Moreover, recommendations are usually given only when they are supported by a certain number $minfreq$ of users, i.e., by a statistically relevant group. We could think that if just a single user has rated items $\{a, b, c, d, e\}$, since this information will not be considered during the classifier training, his privacy will be preserved. However, a malicious user could perform consecutive interactions with the system and discover that after rating $\{a, b, c, d, e\}$ for $minfreq - 1$ times, this new pattern will appear in the recommendations, thus detecting the preferences of one single user. In other words, such a system can be exposed to queries and this is a second kind of privacy breach.

3. THE π SUGGEST SYSTEM

π SUGGEST is an evolution of SUGGEST with a strong difference in its architecture. The two components aiming at updating the knowledge base, and creating recommen-

dations are separated (see Figure 1). The first is placed on the web server implemented as a module of the Apache Web Server. The second one works on the client-side as a browser plugin.

In order to catch information about navigational patterns, π SUGGEST does not need to maintain in a database the complete sessions associated with the various users of the Web site. On the other hand, it only needs to maintain a particular data structure, i.e. an undirected graph with weighted edges $G = (V, E)$, from which recommendations can be extracted. In particular, the set V of vertices contains the identifiers of the different pages hosted on the Web site. Based on the fact that the interest in a page depends on its content and not on the order a page is visited during a session, we assign to each edge E a weight computed as: $W_{ij} = N_{ij} / \max\{N_i, N_j\}$. where N_{ij} is the number of times pages i and j have been accessed consecutively and in any order by a user, while N_i and N_j are respectively the number of times page i or page j has been visited. Note that the sessions are not materialized and values are updated entirely on-line. Dividing by the maximum between single occurrences of the two pages has the effect of reducing the relative importance of links involving index pages. Such pages generally do not contain useful content and are used only as a starting point for a browsing session. Moreover, often users return back to such pages several times, in order to start the visit of a new branch of the Web site. Therefore, index pages are very likely to be visited with any other page and nevertheless are of little interest as potential suggestions.

A triangular adjacency matrix N is used to store the knowledge base, where each entry $N[i, j]$ contains the value N_{ij} . We assume that each entry $N[i, i]$ stores value N_i . The adjacency matrix is incrementally maintained, by only considering single HTTP requests coming from clients. Each request consists of the identifier of the requested page, along with the identifier of the page which the user is coming from. The last page is the referral one, which is usually transmitted together with each HTTP request (see Figure 1).

The π SUGGEST component on the server, besides maintaining the adjacent matrix N modeling the undirected weighted graph G , also finds disjoint clusters of strongly correlated pages by partitioning G , on the basis of its connected components. To this end, π SUGGEST actually uses a modified version [2, 3] of the well known incremental connected components algorithm [8]. Moreover the information about the cluster identifiers, associated with the various vertices of G , is maintained in another data structure, a vector L . In large Web Sites the size of the adjacency matrix N and the vector L might exceed the maximum available main memory. We thus adopted a LRU-based strategy to store in main memory portions of the data structures associated with those pages that have been recently accessed by some users.

π SUGGEST works as follows. On the client-side, when a session starts, the plugin asks the server for page clusters (stored in L) extracted from the knowledge base. At the same time, the plugin is also responsible for tracking the user and holding her/his session. The plugin also creates the suggestions for the user. Suggestions are built in a straightforward manner by finding the cluster that has the largest intersection with the *PageWindow* related to the current session. The final suggestions will include the most relevant pages in the cluster, according to an order deter-

mined by the clustering phase.

Note that session information is never disclosed by the $\pi SUGGEST$ client, since such information is not needed by the server to update its knowledge base. On the other hand, users sessions are exploited by other recommender systems in order to classify a user according to her/his behavior. This is a weak point of such systems from the point of view of privacy, since the information enclosed within a session can be listened by third party during the communication from the client to the server, or misused at the server side.

Our connected component algorithm, used on the server side to incrementally cluster Web pages on the basis of graph G , is driven by two threshold parameters. The aim of these thresholds is to limit the number of edges to visit, but also to avoid the generation of clusters that over-fit the knowledge base and are not statistically relevant. In particular,

1. we filter from G the edges whose weight $W_{i,j}$ is below a constant value, called *minfreq*. Elements $W_{i,j}$ of W (i.e. links between pair of pages) whose values are less than *minfreq* are poorly correlated and thus not considered by clustering algorithm;
2. we only consider connected components of size greater than a fixed number of nodes, namely *minclustersize*. All the components having less than *minclustersize* nodes are discarded because considered not significant enough.

The evaluation of performance and effectiveness of our recommender system can be found in [2], where we also introduced a new effectiveness parameter, based on the intersection of real sessions with the corresponding set of suggestions. The test were conducted by using three real life access log files of public domain¹: Berkeley, NASA, USASK.

4. $\pi SUGGEST$ AND PRIVACY

In order to evaluate the privacy given by $\pi SUGGEST$, we want to quantify with which level of confidence we can infer information about users activities.

In general, a recommender system tries to classify a user according to the pages s/he visited. Each class of users is associated with a subset of pages which are supposed to be interesting for them. In $\pi SUGGEST$, the pages associated with each class are a public information, since the content of data structure L is returned to each client when a user session starts. In other systems we can assume that classes are maintained private, even if part of such information must be published in the form of user recommendations. Moreover, as we have seen previously, such classes can be inferred with a kind of query-driven interaction. In the following we will always refer to a class as a *cluster* of pages, and we will investigate which kind of information is revealed together with the information relative to the composition of a generic cluster.

From the point of view of the plugin on the client-side, a cluster simply is a set of pages $C = \{p_0, p_1, \dots, p_n\}$, even if C has been obtained by partitioning graph G , and thus C actually corresponds to a (partially or completely) connected component of G . However, the plugin on the client-side can not be aware of which pairs of pages actually correspond to edges that belong to the connected graph component behind

C . On the other hand, a *user activity* corresponds to a set of pages the user visited (with cardinality greater than 1). The user also moved from a page to another, and thus there must exist a partially or completely connected graph behind such set of pages.

We are interested in which kind of user activities may have generated a given cluster. To this end we introduce the concept of *valid cluster generator*.

In the rest of the paper the two parameters *minfreq*, and *minclustersize* will not be considered anymore. In fact, they only affect the quality of the classification structure of $\pi SUGGEST$ and not the theoretical results we are going to present.

Definition 1. Given a cluster $C = \{p_0, p_1, \dots, p_q\}$, and a set of user activities $\mathcal{U} = \langle U_1, \dots, U_n \rangle$, where each U_i is a subset of the pages that belong to C and have been visited by some user, \mathcal{U} is a *valid cluster generator* if and only if the following three conditions hold:

1. **covering** $\bigcup_{i=1}^n U_i = C$.
2. **connectivity** $\forall U_i \in \mathcal{U}, \exists U_j \in \mathcal{U}, i \neq j$, s.t.
 $U_i \cap U_j \neq \emptyset$.
3. **minimality** $\forall i (\mathcal{U} \setminus U_i)$ is not a *valid cluster generator*.

Note that, since a connected graph exists behind each U_i , the *connectivity* condition ensures that the union of all the connected graphs associated with the various U_i surely generates one of the possible connected graphs that are able to *support/generate* C .

A cluster generator is simply a set of user activities (sessions), and it is valid if it is able to create the connected component C and if it is minimal. We introduce *minimality* to avoid anomalous combinations that may be useless in this context, e.g., we do not want $\langle \{abcd\}, \{abc\} \rangle$ to be a valid generator for cluster $\{abcd\}$, since the cluster is also supported by the first user session only.

Different recommendation systems have different kinds of valid cluster generators. Nevertheless this concept is applicable to any of them.

Definition 2. Given a cluster $C = \{p_0, p_1, \dots, p_q\}$, and a valid cluster generator \mathcal{U} , the privacy level Π provided by a recommender system Σ w.r.t. \mathcal{U} is the conditional probability:

$$\Pi_{\Sigma}(\mathcal{U}, C) = 1 - P(\mathcal{U} | C)$$

The rationale is clear: if given a cluster C , we can estimate \mathcal{U} with high probability, the system provides very low privacy. On the other hand, if there is no \mathcal{U} which is likely to happen with high probability, then the system provides a high level of privacy.

In Table 1, we give a small example to better understand the problem. Suppose that we receive from $\pi SUGGEST$ one single cluster $C = \{a, b, c, d, e\}$. We can figure out many different events that may have generated C . For example, one single user may have visited all the pages $\{a, b, c, d, e\}$, or two users may have visited respectively the pages $\{a, b, c\}$ and $\{c, d, e\}$, or three users may have visited the pages $\{a, b, c\}$, $\{a, c, d\}$ and $\{d, e\}$, and so on. Note that different users activities, may generate not only the same cluster, but also the same internal representation of the knowledge base.

Even though the example is very small, we found a lot of valid cluster generators. Before considering this example more formally, we will consider clusters of smaller sizes.

¹www.web-caching.com

cluster	valid cluster generators
$C = \{a, b, c\}$	$\mathcal{U}^I = \{\{a, b, c\}\}$
	$\mathcal{U}^{II} = \{\{a, b\}, \{b, c\}\}$
	$\mathcal{U}^{III} = \{\{a, b\}, \{a, c\}\}$
	$\mathcal{U}^{IV} = \{\{b, c\}, \{a, c\}\}$
$C = \{a, b, c, d, e\}$	$\mathcal{U}^I = \{\{a, b\}, \{b, c\}, \{c, d\}, \{d, e\}\}$
	$\mathcal{U}^{II} = \{\{a, b, c\}, \{c, d\}, \{d, e\}\}$
	$\mathcal{U}^{III} = \{\{a, b\}, \{b, c, d\}, \{d, e\}\}$
	$\mathcal{U}^{IV} = \{\{a, b\}, \{b, c\}, \{c, d, e\}\}$
	$\mathcal{U}^V = \{\{a, b, c\}, \{c, d, e\}\}$
	$\mathcal{U}^{VI} = \{\{a, b, c, d\}, \{d, e\}\}$
...

Table 1: Example: the suggestion $C = \{a, b, c, d, e\}$, and a subset of possible events that could have generated it.

Suppose that $|C| = 2$. Since $\pi SUGGEST$ creates an edge between two pages if and only if they have been visited consecutively, we can safely say that some users have visited the two pages with probability 1 and therefore we get a privacy level of 0. Clearly, we only have one acceptable user activity and thus no privacy. For the case $|C| = 2$, we have only four valid cluster generators (see Table 1) leading to a privacy level of $1 - 1/4$.

However, taking into account so “small” clusters C have little or no significance from the point of view of recommendation quality. Moreover, this would lead to an overclassification, i.e. generating an overfitted model with respect to the training data. For this reason, in the following we will consider only cluster whose cardinality is greater than or equal to 4.

THEOREM 1. *Given a cluster $C = \{p_1, \dots, p_q\}$ with $n \geq 4$, and a valid cluster generator \mathcal{U} , the privacy level Π provided by $\pi SUGGEST$ can be bounded, and its lower bound is:*

$$\Pi_{\pi SUGGEST}(\mathcal{U}, C) = 1 - P(\mathcal{U} | C) \geq 1 - \frac{1}{2^{|C|}}$$

PROOF. From the point of view of a malicious user, every activity is equiprobable. Considering again the example in Table 1, we cannot say apriori whether some \mathcal{U}^i is more likely to happen than any other. Therefore, in order to evaluate the probability $P(\mathcal{U} | C)$ it is sufficient to estimate the number of possible \mathcal{U} that may generate C .

For the sake of simplicity, we first consider \mathcal{U} as a set of only two user activities, i.e. $\mathcal{U} = \{U_1, U_2\}$ where $\{U_1 \cup U_2\} = C$, and both $|U_1|, |U_2|$ are greater than (or equal to) 2.

U_1 and U_2 can have intersection of size 1, 2, and so on. Firstly, we consider the case $|\{U_1 \cap U_2\}| = 1$ with an example. Suppose we have $C = \{a, b, c, d\}$ and $\{U_1 \cap U_2\} = a$, then we can take any subset $S \subseteq \{b, c, d\}$ and build $U_1 = \{a \cup S\}$ and $U_2 = \{C \setminus S\}$. We have $2^{|C|-1}$ ways to extract S , among which we have not to consider the case $S = \emptyset$ and $S = \{b, c, d\}$. Moreover, it is worth noting that, for each S , there exists an S' such that $a \cup S = C \setminus S'$ and $a \cup S' = C \setminus S$. Therefore, by considering all the possible ways to build S , we obtain a “double” number of possible distinct pair $\langle U_1, U_2 \rangle$.

Since we have $\binom{|C|}{1}$ ways to choose the item a , i.e. the item in $\{U_1 \cap U_2\}$ we can state that:

$$|\mathcal{U}^1| = \binom{|C|}{1} \frac{2^{|C|-1} - 2}{2}$$

where $\mathcal{U}^1 = \{\langle U_1, U_2 \rangle \text{ s.t. } |\{U_1 \cap U_2\}| = 1\}$.

It easy to see that it is possible to generalize the above formula and show that the following holds:

$$|\mathcal{U}^l| = \binom{|C|}{l} \frac{2^{|C|-l} - 2}{2}$$

where $\mathcal{U}^l = \{\langle U_1, U_2 \rangle \text{ s.t. } |\{U_1 \cap U_2\}| = l\}$.

By summing up all the different cases, we have:

$$|\mathcal{U}| = \sum_{i=1}^{i=N-2} \binom{|C|}{i} \frac{2^{|C|-i} - 2}{2}$$

According to our previous considerations, we know that $|C| \geq 4$, thus we can rewrite the above formula with:

$$|\mathcal{U}| = \sum_{i=1}^{i=N-2} \binom{|C|}{i} \frac{2^{|C|-i} - 2}{2} =$$

$$\binom{|C|}{1} \frac{2^{|C|-1} - 2}{2} + \binom{|C|}{2} \frac{2^{|C|-2} - 2}{2} + \sum_{i=3}^{i=N-2} \binom{|C|}{i} \frac{2^{|C|-i} - 2}{2} \geq$$

$$\binom{4}{1} \frac{2^{|C|-1} - 2}{2} + \binom{4}{2} \frac{2^{|C|-2} - 2}{2} + \sum_{i=3}^{i=N-2} \binom{|C|}{i} \frac{2^{|C|-i} - 2}{2} \geq$$

$$2^{|C|} - 4 + 12 - 6 + \sum_{i=3}^{i=N-2} \binom{|C|}{i} \frac{2^{|C|-i} - 2}{2} \geq 2^{|C|}$$

which means that: $P(\mathcal{U} | C) \leq \frac{1}{2^{|C|}}$. \square

As expected, the amount of possible valid cluster generators is very high, and therefore it is not possible to understand which set of user activities have actually lead to cluster C . But we are pretty much interested, not only in giving a confidence level for a set of users activities as above, but also a confidence level for the activity of a single user.

Definition 3. Given a cluster $C = \{p_0, p_1, \dots, p_n\}$, and a set of pages visited by a single user $U = \{q_0, q_1, \dots, q_n\}$ with $U \subseteq C$, the privacy level Π^* provided by some recommendation system Σ w.r.t. U is the conditional probability:

$$\Pi_{\Sigma}^*(U, C) = 1 - P(U | C)$$

We want to weigh the chance for a malicious agent, to estimate the possibility that some users have actually visited a set of pages U given that the system created and suggested cluster C , where $U \subseteq C$.

THEOREM 2. *Given a cluster $C = \{p_0, p_1, \dots, p_q\}$, and a set of pages $U = \{q_1, \dots, q_n\}$ visited by some user, where $U \subseteq C$, the privacy level Π^* provided by $\pi SUGGEST$ w.r.t. U^* can be lower bounded, and its lower bound is:*

$$\Pi_{\pi SUGGEST}^*(U, C) = 1 - P(U | C) \geq 1 - \frac{1}{3^{\frac{|C|}{2}}}$$

PROOF. In the following we will show that, for each valid cluster generator \mathcal{U} of C , where U is included in \mathcal{U} , there are at least $3^{\frac{|C|}{2}}$ valid cluster generators that do not include U .

By definition, $\mathcal{U} \setminus U$ is not a valid generator. This can be due to covering or connectivity properties. Suppose that it is

only due to connectivity. This means that the graph behind $\mathcal{U}' = \langle U_2, \dots, U_n \rangle$ is disconnected. This means that we can partition the graph by considering its connected components. Let \mathcal{G}_i be a connected component of that graph and let $U_{\mathcal{G}_i}$ be the union of all the user activities covered by \mathcal{G}_i . We have that

$$\left(\bigcup_{U_i \subseteq U_{\mathcal{G}_i}} U_i \right) \cap \left(\bigcup_{U_i \in \mathcal{U}' \wedge U_i \not\subseteq U_{\mathcal{G}_i}} U_i \right) = \emptyset$$

Note that, for each group of activities \mathcal{G}_h , we thus have a disjoint group of pages P_h . To connect all these P_h , we need at least a single new user activity U' that replaces U , where $\forall P_h, \exists p \in P_h$ s.t. $p \in U'$. We have multiple possible ways to choose U' according to the above properties. Note that, when the new U' is selected, it is possible that we find some user activity $U_i \in \mathcal{U}$, such that $U_i \subset U'$: in this case, due to the minimality property, U_i must be removed from the new cluster generator \mathcal{U}' .

The case that *lower* bounds the possible choices of U' is when each activities $U_i \in \mathcal{U}$ is made up of a single pairs of pages, and the various U_i are disjoint. In this case we have that the possible way of choosing U' are

$$(2^2 - 1) \frac{|C|}{2} = 3 \frac{|C|}{2}$$

where $(2^2 - 1)$ is the number of possible subsets of a set of two elements, without considering the emptyset. Therefore we have that $P(U | C) \leq \frac{1}{3 \frac{|C|}{2}}$.

Finally, if we also consider that $\mathcal{U} \setminus U$ is not valid due to the covering property, the possible choices of the substitute of U increase, so that the bound for $P(U | C)$ still hold. \square

Theorem 1 and Theorem 2 lead us to the following conclusion. We state that if the $\pi SUGGEST$ system is plugged into a privacy safe system, it will not provide any privacy breach. We say that a system is *privacy safe* if the two conditions hold: (i) the user activity cannot be tracked, (ii) the user activity cannot be inferred. Condition (i) has to hold by definition in a safe system. If we add $\pi SUGGEST$ to such a system, the only additional parameter we would need is the current page. Since this parameter cannot discriminate a user among the others, it turns out to be impossible to use it to track users activity (e.g. listening the communication channel), and therefore we have that condition (i) still holds. Finally, neither publishing the clustered structure can be considered a privacy breach (however it could be inferred with consecutive queries to the system). Theorem 1 assures that the privacy provided by $\pi SUGGEST$ increases exponentially with the size of the published cluster. Given one recommendation, there are exponential many aggregate behavior that might have generated it, and therefore it is not possible to detect the actual behavior among them, i.e. condition (ii) holds.

5. CONCLUSION

In this work, we presented a privacy enhanced web recommender system. State-of-the-art algorithms require users to be classified in order to provide them with interesting suggestions. This classification-based approach has been shown to be a privacy breach itself. It reveals which pages a

group of users have actually visited. This information may be used by potential competitors to, for instance, restructure their own sites according to the usage patterns “*stolen*” from a site which uses privacy-disclosing Web recommender systems. According to this framework of user classification based systems, we define a new privacy measure. This metric models the chance for a malicious user to recover the real behavior of a group or a single user, on the basis of the information revealed by the system. Finally we introduced $\pi SUGGEST$, a two-tier system which works both at client and server side. On the server side, a knowledge base is updated on-line. On the client side, a plugin create a list of links to pages of interest. Our recommender system is shown to be privacy safe. No significant additional information, i.e. that could be used by malicious users, is needed to create a knowledge base. From this knowledge base, a set of web page clusters is extracted and used to build recommendations. More importantly, we show that the probability to guess whether a user has visited a set of pages U on the basis of the extracted clusters, decrease exponentially with the cardinality of $|U|$. This probability is the same both for any third party user and for the server providing this service as well. This means, that according to our framework, the server which collects information to build the knowledge base, can not breach users’ privacy. A set of experiments assess the quality of the recommendations. As the a future work, we want to tighten the bounds we provided in this article, and to study the evolution of the system from a privacy point of view. We had to evaluate the soundness of the system using historical data, but we are much more interested in how this scenario can change due to user interaction, i.e. when users actually use links provided by the system.

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